



2132  
ERW

**PATENT**  
Attorney Docket No. 1215

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

ANDREW et al.

Group Art Unit: 2132

Serial No. 09/870,411

Examiner: Derwich, K

Filed: May 29, 2001

For: Encrypting File System and Method

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted herewith for consideration by the Examiner in the examination of the above-identified patent application. The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so. Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

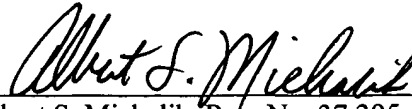
The Supplemental Information Disclosure Statement is being filed after the mailing of the first Office Action on the merits, but before the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below). Accordingly, no fee is owed by applicant. If, however, a fee is due, the Patent Office is hereby authorized to charge any fee associated with this submission to Deposit Account 50-1618.

**CERTIFICATION**

The undersigned attorney hereby certifies that no item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the attorney signing after

In re Application of ANDREW et al.  
Serial No. 09/870,411

making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Supplemental Information Disclosure Statement.

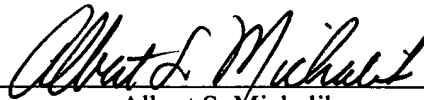


Albert S. Michalik, Reg. No. 37,395  
Attorney for Applicants  
Law Offices of Albert S. Michalik, PLLC  
704 – 228<sup>th</sup> Avenue N.E., Ste. 193  
Sammamish, Washington 98074  
(425) 836-3030 (telephone)  
(425) 836-8957 (facsimile)

CERTIFICATE OF MAILING

I hereby certify that this SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, PTO form 1449 and copies of the cited references are being deposited with the United States Postal Service on the date shown below, with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date: May 3, 2005



Albert S. Michalik

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 1215	SERIAL NO. 09/870,411
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		APPLICANT ANDREW et al.	
		FILING DATE May 29, 2001	GROUP 2132

**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AR	6,842,770	01-2005	Serlet et al.	709/203		
	AS	6,714,968	03-2004	Prust, Mitch	709/219		
	AT	6,732,361	05-2004	Andreoli et al.	719/313		
	AU	6,629,127	09-2003	Deen et al.	709-203		
	AV	6,760,886	07-2004	Nadon et al.	715/500.1		
	AW	2002/0168616	11-2002	Chan et al.	434/118		
	AX	6,478,069	06,2003	Hopmannet al.	709/203		
	AY	6,654,794	11-2003	French, Steven Michael	709/217		

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO*

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**
